



AML Policy

AuraXtail



DISCLAIMER

AuraXtail™, operating under the legal entity Oxtail Holdings Inc.®, is a trader-funded firm (TFF) and proprietary trading firm committed to upholding the highest standards of integrity and transparency in its operations. The Anti-Money Laundering (AML) and Know Your Customer (KYC) Policy establishes a preliminary framework outlining the firm's voluntary measures to prevent illicit financial activities, protect traders, and ensure operational trustworthiness.

This AML/KYC Policy serves as an initial guide, reflecting AuraXtail's proactive commitment to adopting practices aligned with industry standards for combating money laundering and terrorist financing. As the firm advances toward full operational maturity, driven by expanding trader engagement and transaction volume, the policy will be refined, expanded, and formalized. Future enhancements will incorporate structured compliance with anti-money laundering regulations, potentially under the oversight of authorities such as financial intelligence units or regulatory bodies responsible for monitoring financial transactions (e.g., agencies similar to Canada's Financial Transactions and Reports Analysis Centre or equivalent international entities). To maintain a clean track record, AuraXtail voluntarily reports suspicious activities to such authorities where appropriate, ensuring transparency and accountability. Updates to the policy will also detail the engagement of independent auditing firms, compliance consultants, or other fiduciary entities to strengthen oversight, verification, and transparency in the firm's financial and operational processes.

Traders engaging with AuraXtail's services, including evaluations, funded accounts, or giveaway competitions, will be subject to identity verification and transaction monitoring as outlined in the policy. The AML/KYC Policy is not a final or exhaustive statement of AuraXtail's compliance measures but serves as a foundational outline, subject to further development as regulatory and operational frameworks are solidified. AuraXtail reserves the right to amend the policy at its discretion, with updates communicated via www.auraxtail.com.

By utilizing AuraXtail’s services, traders acknowledge that the firm is actively pursuing formal regulatory alignment and operational excellence, voluntarily implementing measures to ensure a transparent and compliant environment in preparation for future regulatory registration.

AURAXTAIL AML/KYC POLICY

1. INTRODUCTION

Oxtail Holdings Inc., operating as AuraXtail (“we”, “us”, “AuraXtail”), is committed to preventing money laundering, terrorist financing, and other illicit activities in compliance with Canada’s Proceeds of Crime (Money Laundering) and Terrorist Financing Act (PCMLTFA), regulations enforced by the Financial Transactions and Reports Analysis Centre of Canada (FINTRAC), and international standards. This Anti-Money Laundering (AML) and Know Your Customer (KYC) Policy outlines our procedures for identifying Traders, verifying their identities, monitoring transactions, and reporting suspicious activities, applicable to our services as a trader-funded firm routing trades to tier-1 liquidity providers and The Forex Blockchain (DRU Network).

2. SCOPE AND APPLICABILITY

This Policy applies to all Traders, employees, contractors, and partners engaging with AuraXtail’s Services, including trading evaluations, funded accounts, and giveaway competitions.

AuraXtail is obligated to implement AML/KYC measures for all financial transactions, including evaluation fees, trading commissions, and profit split payouts.

3. KYC PROCEDURES

All Traders must provide accurate personal information during registration, including: (a) Full legal name. (b) Date of birth. (c) Residential address. (d) Nationality. (e) Email address and phone number. Business entities must provide: (a) Legal name and registration number. (b) Registered office address. (c) Details of authorized representatives. Traders must submit government-issued identification (e.g., passport, driver’s license) and proof of address (e.g.,

utility bill or bank statement, not older than 3 months). Verification is conducted via secure third-party KYC providers or manual review by AuraXtail's compliance team. Business entities must provide incorporation documents, beneficial ownership details (identifying individuals with >25% ownership), and representative IDs. Traders must update their information promptly if it changes. AuraXtail conducts periodic reviews of Trader profiles, especially for funded accounts or high-value transactions. Enhanced Due Diligence (EDD) applies to: (a) Traders from high-risk jurisdictions (per FATF or FINTRAC guidelines). (b) Politically exposed persons (PEPs) or their associates. (c) Transactions exceeding CAD 10,000 or flagged as unusual. EDD includes source of funds/wealth verification, additional ID checks, and transaction scrutiny.

4. AML PROCEDURES

AuraXtail monitors all transactions (e.g., evaluation fees, commissions, profit payouts, debit transactions) for suspicious patterns, such as: (a) Rapid or high-volume fund transfers. (b) Transactions inconsistent with Trader profiles. (c) Payments from/to Restricted Jurisdictions. Automated systems flag anomalies, reviewed by the compliance team. No Services are provided to Traders in jurisdictions under sanctions, engaged in conflict, or high-risk for terrorism, as listed at www.auraxtail.com/restricted. Screening against Canadian and international sanctions lists (e.g., OSFI, UN) is conducted during onboarding and ongoing monitoring. Suspected money laundering or terrorist financing is reported to FINTRAC within 30 days via a Suspicious Transaction Report (STR). Traders are not informed of STR filings to comply with PCMLTFA. Large Cash Transactions of CAD 10,000 or more in cash (or equivalent) are reported to FINTRAC within 15 days. AuraXtail discourages cash payments to minimize risk.

5. DATA SHARING AND AUDITS

AuraXtail shares Trader data with The Forex Blockchain (DRU Network) for operational and auditing purposes, as required by our partnership and disclosed in the Privacy Policy. Audits are conducted by CPAB-overseen Canadian auditors, funded by The Forex Blockchain, and published at www.thedru.ca. AuraXtail provides all necessary data for these audits.

6. COMPLIANCE PROGRAM

AuraXtail maintains a FINTRAC-compliant AML program, including: (a) A designated Compliance Officer overseeing AML/KYC. (b) Written policies and procedures. (c) Risk

assessments for Traders and Services. (d) Employee training on AML/KYC obligations. (e) Biennial program reviews. Records of KYC documents, transactions, and reports are retained for at least 5 years, per PCMLTFA.

7. NON-COMPLIANCE

Failure to provide required KYC information results in Service suspension or denial. Suspected violations (e.g., false information, sanctioned jurisdiction use) may lead to account termination, Service restrictions, and reporting to authorities without a refund. AuraXtail may freeze accounts or withhold payouts pending investigation of suspected AML/KYC breaches.

8. CONTACT

AML/KYC inquiries or requests to update information should be sent to relations@auraxtail.com or 10180 101st NW, Edmonton, AB, T5J 3S4, Canada. AuraXtail responds to requests within 30 days, subject to verification.

9. UPDATES

This Policy may be updated to reflect legal or operational changes, with updates posted publicly. Traders are notified via email or Client Portal.

10. GOVERNING LAW

This Policy is governed by Alberta and Canadian law.
